

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,328	05/15/2001	Bob Vogel	18360/215625

CONFIRMATION NO. 3579

FORMALITIES LETTER



\*OC000000006303605\*

000826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

Date Mailed: 07/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$376.
  - \$216 for 12 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1216.**

*A copy of this notice **MUST** be returned with the reply.*

*Seely*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 BNGUYEN1 00000044 160605 09858328  
01 FC:101 710.00 CH  
02 FC:103 216.00 CH  
03 FC:102 160.00 CH  
04 FC:105 130.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Robert Vogel et al.**

Appl. No.: **09/858,328**

Group Art Unit: **2131**

Filed: **May 15, 2001**

Examiner: **Unassigned**

**METHOD AND APPARATUS FOR AUTHORIZING THE  
TRANSFER OF INFORMATION**

September 17, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

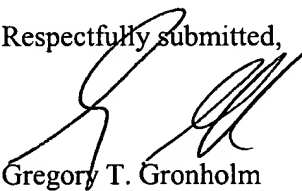
Sir:

In response to the Notice to File Missing Parts of Application dated July 17, 2001, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventors
- ☐ Check in the amount of \$\_\_\_\_ to cover the filing fee of \$\_\_\_\_ and the \$\_\_\_\_ surcharge under 37 C.F.R. § 1.16(e)
- ☒ Assignment and Assignment Recordation
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.

Any filing fee, recordation fee, surcharge or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,


  
Gregory T. Gronholm  
Registration No. 32,415

**Customer No. 000826**  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Box Missing Parts** Commissioner For Patents, Washington, DC 20231, on September 20, 2001.

  
Elaine Graham